

2459-1-003CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Ming Ming ZHOU, et al.

PATENT NO.: 7,589,167

SERIAL NO.:

09/784,553

EXAMINER: Zachariah LUCAS

FILED:

February 16, 2001

ART UNIT:

1648

FOR:

METHODS OF IDENTIFYING MODULATORS OF BROMODOMAINS

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to COMMISSIONER FOR PATENTS, P.O. BOX 1450, Alexandria, VA 22313-1450 on <u>January 28, 2010.</u>

Michele Hofherr

(Name of Person Mailing.)

Signature and Date)

COMMUNICATION

MAIL STOP: PETITIONS

COMMISSIONER FOR PATENTS

P.O. BOX 1450

ALEXANDRIA, VA 22313-1450

Dear Sir:

Applicants submit herewith a Petition to Correct Inventorship in an Issued Patent under 37 C.F.R. §1.324(a) together with the fee set forth in 37 C.F.R. §1. 20(b) and along with the appropriate Consent of the Inventors Statements under 37 C.F.R. §1.324(b)(2), Consent of the Assignees Statements under 37 C.F.R. §1.324(b)(3), and a Certificate of Correction together with the appropriate fee set forth in 37 C.F.R. §1. 20(a).

Respectfully submitted,

J. David Smith

Attorney for the Applicant

Reg. No. 39,839

KLAUBER & JACKSON 411 Hackensack Avenue Hackensack, NJ 07601 (201) 487-5800 DAC

2459-1-003CIP

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Ming Ming ZHOU

PATENT NO.: 7,589,167

SERIAL NO.:

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EXAMINER: Zachariah LUCAS

FILED:

February 16, 2001

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1648

02 FC:1464

FOR:

METHODS OF IDENTIFYING MODULATORS OF BROMODOMAINS

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the COMMISSIONER OF PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450 on January 28, 2010.

Michele Hofherr
(Name of Person Mailing)

(Signature and Date)

PETITION FOR CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. §1.324(a)

Mail STOP: PETITIONS

COMMISSIONER OF PATENTS

P.O. BOX 1450

ALEXANDRIA, VA 22313-1450

Dear Sir:

Petitioner requests that the above-identified patent be amended under 37 C.F.R. §1.324(a) to delete two named inventors Melanie Ott and Eric Verdin. The correct inventors are Ming-Ming Zhou and Aneel K. Aggarwal. Melanie Ott and Eric Verdin assigned their ownership rights to The Regents of the University of California as evidenced by an Assignment recorded on December 30, 2002 at Reel 013617, Frame 0635, and The Regents of the University of California assigned its ownership rights to the J. David Gladstone Institutes as evidenced by an Assignment recorded on November 28, 2008 at Reel 022087, Frame 0210. As such the assignment recorded in November 28, 2008 at Reel 022087, Frame 0210. As such the assignment is, after the correction is completed, only The Mount Sinai School of Madical 13.

Petitioner submits herewith the fee set forth in 37 C.F.R. §1. 20(b) along with the appropriate

Consent of the Inventors Statements under 37 C.F.R. §1.324(b)(2), Consent of the Assignees

Statements under 37 C.F.R. §1.324(b)(3), and a Certificate of Correction together with the

appropriate fee set forth in 37 C.F.R. §1. 20(a). Petitioner inadvertently and without deceptive

intent incorrectly indicated the named inventors. Petitioner requests that the file be forwarded to

the Certificates of Correction Branch for issuing a Certificate of Correction once this Petition is

granted. Two (2) copies of Form PTO-1050 containing the requested correction accompany this

Petition. Return of one copy of the Form duly certified is requested.

The requisite petition fee pursuant to 37 C.F.R. §1.20(b) (\$130.00) is submitted herewith.

Further, the Certificate of Correction fee pursuant to 37 C.F.R. §1.20(a) (\$100.00) is submitted

herewith. It is believed that no additional fees are due in connection with this submission, but if

any fees are due, please charge Deposit Account No. 11-1153.

Respectfully submitted,

KLAUBER & JACKSON

Smith

David Smith

Aworney for Applicant(s) Registration No. 39,839

KLAUBER & JACKSON 411 Hackensack Avenue Hackensack NJ 07601 Tel: (201) 487-5800

Dated: January 28, 2010

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

(Also Form PTO-1050)

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

	D	4 .6	4
PATENT NO. : 7,589,167	Page _	_1 of _	_1_
APPLICATION NO.: 09/784,553			
ISSUE DATE : September 15, 2009			
INVENTOR(S) : Ming-Ming Zhou and Aneel K. Aggarwal			
It is certified that an error appears or errors appear in the above-identified patent and is hereby corrected as shown below:	that said	Letters P	atent
Assignee: Mt. Sinai School of Medicine		٠.	
Inventors: Ming-Ming Zhou and Aneel K. Aggarwal			
			l

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Klauber & Jackson, LLC Continental Plaza, 411 Hackensack Avenue, 4th Floor Hackensack, NJ 07601

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. (Also Form PTO-1050)

UNITED STATES PATENT AND TRADEMARK OFFICE

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PATENT NO. : 7,589,167	Page _	1	_ of _	_1
APPLICATION NO.: 09/784,553				
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INVENTOR(S) : Ming-Ming Zhou and Aneel K. Aggarwal				
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Assignee: Mt. Sinai School of Medicine				
Inventors: Ming-Ming Zhou and Aneel K. Aggarwal				
·				

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Klauber & Jackson, LLC Continental Plaza, 411 Hackensack Avenue, 4th Floor Hackensack, NJ 07601

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APPLICANT:

FEB 0 1 2010

Ming Ming ZHOU

PATENT NO.: 7,589,167

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EXAMINER: Zachariah LUCAS

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Michele Hofherr

(Name of Person Mailing.)

CONSENT OF ASSIGNEE UNDER 37 C.F.R. §1.324(b)(3)

COMMISSIONER FOR PATENTS P.O. BOX 1450 **ALEXANDRIA, VA 22313-1450**

Dear Sir:

The Mount Sinai School of Medicine, being an assignee from the inventors of the aboveidentified patent hereby consents to removing Melanie Ott and Eric Verdin as inventors of the above-identified patent. The Mount Sinai School of Medicine establishes its authority to act in this capacity pursuant to 37 C.F.R. §3.73(b) by referencing an Assignment from inventors Ming-Ming Zhou and Aneel K. Aggarwal to The Mount Sinai School of Medicine recorded on December 30, 2002 at Reel 013617, Frame 0737. I the undersigned state pursuant to 37 C.F.R. §3.73(b)(2)(i) that I am authorized to act on behalf of the assignee.

W. Patrick MdGrath

Executive Director

Mount Sinai School of Medicine



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Ming-Ming Zhou et al.

PATENT NO.: 7,589,167

SERIAL NO.:

09/784,553

EXAMINER: Zachariah LUCAS

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Michele Hofherr (Name of Person Mailing.)

(Signature and Date)

CONSENT OF ASSIGNEE UNDER 37 C.F.R. §1.324(b)(3)

COMMISSIONER FOR PATENTS P.O. BOX 1450 **ALEXANDRIA, VA 22313-1450**

Dear Sir:

The J. David Gladstone Institutes, being an assignee from the inventors of the aboveidentified patent hereby consents to removing Melanie Ott and Eric Verdin as inventors of the above-identified patent. The J. David Gladstone Institutes establishes its authority to act in this capacity pursuant to 37 C.F.R. §3.73(b) by referencing an Assignment from inventors Melanie Ott and Eric Verdin to The Regents of the University of California recorded on December 30. 2002 at Reel 013617, Frame 0635 and an Assignment from The Regents of the University of California to the J. David Gladstone Institutes recorded on November 28, 2008 at Reel 022087, Frame 0210. I the undersigned state pursuant to 37 C.F.R. §3.73(b)(2)(i) that I am authorized to act on behalf of the assignee.

1.15 _,2009

President.

J. David Gladstone Institutes



Dated: ______, 2009



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	APPLICANT:	Ming-Ming Zhou et al.	PATENT NO.	: 7,589,167	
	SERIAL NO.:	09/784,553	EXAMINER:	Zachariah LUCAS	
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		hat this correspondence is being depenvelope addressed to COMMISSIO	osited with the United	S, P.O. Box 1450, Alexand	
	Michele Hofherr (Name of Person	Mailing.) (Sign	nuckele A	boflen 1-28	<u>1-10</u>
	CON	ISENT OF INVENTORS L	INDER 37 C.F.F	R. §1.324(b)(2)	
	COMMISSIONER F P.O. BOX 1450 ALEXANDRIA, VA				
	Dear Sir:				
	We the under	rsigned, the presently named	inventors of the a	above-identified pate	nt, hereby
	consent to removing	Melanie Ott and Eric Verdin	as inventors of t	he above-identified p	patent.
	The error in naming	Melanie Ott and Eric Verdin	as inventors occu	urred inadvertently a	nd
	without any deceptive	e intent.			
	Ming-Ming Zhou	<u> </u>	Dated:	12/21	, 2009
	Aneel W. Aggarwat		Dated:	12/21	, 2009
	Eric Verdin		Dated: _		, 2009

Melanie Ott



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Ming-Ming Zhou et al.

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Michele Hofherr (Name of Person Mailing.) (Signature and Date)

CONSENT OF INVENTORS UNDER 37 C.F.R. §1.324(b)(2)

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

Dear Sir:

We the undersigned, the presently named inventors of the above-identified patent, hereby consent to removing Melanie Ott and Eric Verdin as inventors of the above-identified patent.

The error in naming Melanie Ott and Eric Verdin as inventors occurred inadvertently and without any deceptive intent.

Ming-Ming Zhou	Dated:	, 2009
Aneel K. Aggarwal	Dated:	, 2009
Eric Verdin	Dated: 1423/04	, 2009
	buul .a	,

Dated:

2009